

Campaign Finance and Public Disclosure Board

190 Centennial Office Bldg, 658 Cedar St, St Paul, MN 55155

www.cfboard.state.mn.us



Report of Receipts and Expenditures for Candidate Committees Period Covered: January 1 through December 31, 2016

REPORT DUE DATE IS JANUARY 31, 2017

FILING INSTRUCTIONS

- This report may be emailed to cfb.reports@state.mn.us or faxed to 651-539-1196 or 800-357-4114.
- All information on this report is public information and may be published on the Board's website at www.cfboard.state.mn.us
- It is unlawful to use this information for commercial purposes.
- Board staff may be reached by phone at 651-539-1180 or 800-657-3889 or by email at cfb.reports@state.mn.us

COMMITTEE INFORMATION

Committee name		Registration number
Candidate name	Candidate email address	
Treasurer name	Treasurer email address	
Treasurer address		
Treasurer city, state, zip		Treasurer telephone (Daytime)

REPORT OPTIONS

Check one of the report option boxes below **only if applicable** and provide the requested information.

No change statement

Check this box only if your committee received *no* contributions and made *no* expenditures since your last reporting period. Do not use this statement if there was any monetary change. If there was no change:

Provide the current cash balance: \$ _____, and sign here

_____, I, the treasurer or candidate (check one), _____ Date
certify there has been no change and that this report is complete, true and correct.

Amendment

Check this box if your committee is filing this report to amend a report previously filed for the same period.

Termination

Check this box if your committee has dissolved. Do not check this box unless the committee has settled all its debts and disposed of all its assets in excess of \$100.

This document is available in alternative formats to individuals with disabilities by calling 651-539-1180, 800-657-3889, or through the Minnesota Relay Service at 800-627-3529.

For office use only

Checked in Scanned Data entered

INSTRUCTIONS FOR COMMITTEE TRANSACTION SUMMARY

- Line 1** Beginning cash balance must be the same as the ending cash balance reported on December 31, 2015.
- Line 2** Contributions from individuals other than registered lobbyists.
- Line 3** Contributions from registered lobbyists.
- Line 4** Contributions from registered political committees and political funds.
- Line 5** Contributions from political party units and terminating principal campaign committees.
- Line 6** Contributions from other sources including committees registered in Hennepin County and unregistered associations.
- Line 7** Receipts of public subsidy payments from the State Elections Campaign Fund.
- Line 8** Receipts from an individual, financial institution, or other entity received as a loan.
- Line 9** Income received by your committee that is not a contribution or loan (e.g., repayment of a loan made by your committee, interest from an interest bearing account).
- Line 10** **Total receipts – add lines 2 through 9**
- Line 11** Money spent for goods or services for the purpose of influencing the election of the candidate.
- Line 12** Expenditures made to promote or defeat a *state ballot question* (constitutional amendment). **Expenditures for local referendums should be reported as campaign expenditures.**
- Line 13** **Total campaign expenditures – add lines 11 and 12**
- Line 14** Non-campaign disbursements - see attached definition sheet (page 30).
- Line 15** Cash or in-kind contributions given by your committee to another registered principal campaign committee.
- Line 16** Cash or in-kind contributions given by your committee to a registered political party unit
- Line 17** Cash or in-kind contributions given by your committee to a registered political committee or political fund.
- Line 18** All other disbursements made by your committee that are not included on other schedules including charitable contributions.
- Line 19** **Total expenditures and disbursements – add lines 13 through 18**
- Line 20** Ending cash balance includes money on deposit in banks and other depositories. Reported ending cash balance must be reconcilable with balance stated by the depositories. **Line 1 + Line 10 - Line 19**

COMMITTEE TRANSACTION SUMMARY

1	Beginning cash balance 1/1/16 (Should be the same as the 12/31/15 ending cash balance)		\$				
A RECEIPTS:			Cash (Col. 1)	Blank (Col. 2)	In-kind (Col. 3)	Totals (Col. 4)	
2	Individual contributions	Schedule A1 - IND	\$		\$	\$	
3	Lobbyist contributions	Schedule A1 - LOB	\$		\$	\$	
4	Political committee and political fund contributions	Schedule A1 - PCF	\$		\$	\$	
5	Political party and terminating principal campaign committee contributions	Schedule A1 - PPU/ TERM PCC	\$		\$	\$	
6	Other contributions	Schedule A1 - OTH	\$		\$	\$	
7	Public Subsidy payment	Schedule A2 - PS	\$			\$	
8	Receipts from loans payable	Schedule A2 - LP	\$			\$	
9	Miscellaneous income	Schedule A2 - MISC	\$			\$	
10	TOTAL RECEIPTS	Sum #2 thru #9	\$			\$	\$
B DISBURSEMENTS:			Cash (Col.1)		Unpaid bills (Col. 2)	In-kind (Col. 3)	Totals (Col. 4)
11	Campaign expenditures	Schedule B1 - CE	\$	\$	\$	\$	
12	Ballot question expenditures <i>(Not local referendums)</i>	Schedule B1 - BQ	\$	\$	\$	\$	
13	TOTAL CAMPAIGN EXPENDITURES	Sum #11 to #12	\$	\$	\$	\$	
14	Non-campaign disbursements	Schedule B1 - NCD	\$		\$	\$	
15	Contributions to other principal campaign committees	Schedule B2 - PCC	\$		\$	\$	
16	Contributions to political party units	Schedule B2 - PPU	\$		\$	\$	
17	Contributions to political committees and funds	Schedule B2 - PCF	\$	\$	\$	\$	
18	Other disbursements	Schedule B3 - OTH	\$	\$	\$	\$	
19	TOTAL EXPENDITURES AND DISBURSEMENTS	Sum #13 thru #18	\$	\$	\$	\$	
20	Ending cash balance at 12/31/16	#1 + #10 - #19	\$				

INSTRUCTIONS for NOTES, LOANS, and UNPAID BILLS SUMMARY

Report on this page all outstanding notes, loans, and unpaid bills owed by your committee as of December 31, 2016, including all previous years.

Line 1 Outstanding notes or loans payable (owed by your committee to an individual, financial institution, or other entity received as a loan) as reported on Schedule C, Column 1, page 29.

Line 2 Unpaid bills owed by your committee for goods or services for campaign expenditures as reported on Schedule D, Column 1, page 29.

Campaign expenditures are made for the purpose of influencing the nomination or election of the candidate. Campaign expenditures apply toward the spending limits of candidates who sign a Public Subsidy Agreement.

Line 3 Unpaid bills owed by your committee for goods or services for non-campaign disbursements as reported on Schedule D, Column 2, page 29.

See definition of non-campaign disbursements on page 30.

CERTIFICATION

This report must be signed and dated by the candidate or by the current treasurer or deputy treasurer of record. The original signature of the person responsible for preparation or filing of this report is required to make the report complete*. Only signed reports may be filed with the Board.

**A document filed by facsimile transmission meets this requirement if the original document being transmitted bears the required signature.*

NOTES, LOANS, AND UNPAID BILLS SUMMARY

1	Notes or loans payable	Schedule C	
2	Unpaid bills for campaign expenditures	Schedule D - Col. 1	
3	Unpaid bills for non-campaign disbursements	Schedule D - Col. 2	
4	TOTAL AMOUNT OUTSTANDING	Sum of #1 thru #3	

CERTIFICATION

I, _____, certify that this report is complete, true, and correct.
 Print or type name

 Signature of (check one): treasurer deputy treasurer

 Date

 Registration #

Any person who signs and certifies to be true a report or statement which the person knows contains false information, or who knowingly omits required information, is subject to a civil penalty imposed by the Board of up to \$3,000 and is subject to criminal prosecution for a gross misdemeanor.

INSTRUCTIONS FOR SCHEDULE A1 - IND

Use this schedule to itemize contributions and receipts from *individuals who are not lobbyists*.

You must itemize contributions that in aggregate total more than \$200 from an individual. When multiple contributions are received from the same individual, show the contributor's name once and list all contributions from that contributor separately under the contributor's name, with the date and amount for each contribution.

Contributions from individuals, made on a joint checking account, are considered to be a contribution in equal proportions by the person(s) who sign the check unless the candidate or treasurer has personal knowledge or ascertains from the account holder who did not sign the check that the person is a joint contributor. If more than \$200 in aggregate is received from each contributor, disclose each contributor on a separate line with all the required information.

Entries must be in alphabetical order by last name.

For itemized transactions you must disclose the:

- date the contribution was RECEIVED by your committee,
- name of contributor,
- name of contributor's employer (if self-employed, list "self" and disclose the individual's occupation),
- contributor's full address (street, city, state, and zip code), and
- amount of contribution(s).

Non-itemized transactions:

Do not itemize contributions that total \$200 or less from any one individual.

Disclose the total of all contributions of \$200 or less from individuals on the "Non-itemized receipts" line at the bottom of the schedule.

SCHEDULE A1 - IND – CONTRIBUTIONS FROM INDIVIDUALS

Make photocopies of this page if additional space is needed.

Page ____ of ____

Date received	Name, full address, and employer of contributor (If self-employed, list "self" & disclose the occupation)	1 Cash	2 In-kind (List item and fair market value)	3 Totals (Cash & in-kind)
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
Total of non-itemized receipts		\$	\$	\$
TOTALS		\$	\$	\$
		To page 3, line 2, col. 1	To page 3, line 2, col. 3	To page 3, line 2, col. 4

It is unlawful to use this information for commercial purposes.

INSTRUCTIONS FOR SCHEDULE A1 - LOB

Use this schedule to itemize contributions and receipts from *registered lobbyists*.

You must itemize contributions that in aggregate total more than \$200 from a lobbyist. When multiple contributions are received from the same lobbyist, show the lobbyist's name once and list all contributions from that lobbyist separately under the lobbyist's name, with the date and amount for each contribution.

Entries must be in alphabetical order by last name.

For itemized transactions you must disclose the:

- date the contribution was RECEIVED by your committee,
- lobbyist registration number,
- name of lobbyist, and
- amount of contribution(s).
- lobbyist's full address (street, city, state, and zip code)* , and
- name of lobbyist's employer (if self-employed, list "self" and disclose the lobbyist's occupation other than lobbying).

Non-itemized transactions:

Do not itemize contributions that total \$200 or less from any one lobbyist.

Disclose the total of all contributions of \$200 or less from lobbyists on the "Non-itemized receipts" line at the bottom of the schedule.

SCHEDULE A1 - LOB – CONTRIBUTIONS FROM LOBBYISTS

Make photocopies of this page if additional space is needed.

Page ____ of ____

Date received	Lobbyist registration number	Name, full address, and employer of lobbyist (If self-employed, list "self" & disclose the occupation)	1 Cash	2 In-kind (list item and fair market value)	3 Totals (cash & in-kind)
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
Total of non-itemized receipts			\$	\$	\$
TOTALS			\$	\$	\$
			To page 3, line 3, col. 1	To page 3, line 3, col. 3	To page 3, line 3, col. 4

It is unlawful to use this information for commercial purposes.

INSTRUCTIONS FOR SCHEDULE A1 - PCF

Use this schedule to itemize contributions and receipts from political committees and political funds registered with the Board.

You must itemize contributions that in aggregate total more than \$200 from a political committee or political fund. When multiple contributions are received from the same political committee or political fund, show the political committee or political fund's name once and list all contributions from that committee or fund separately under the committee or fund's name, with the date and amount of each contribution.

Do not use this schedule to report receipts from federal or local committees not registered with the Board or from other unregistered organizations.

Entries must be in alphabetical order.

For itemized transactions you must disclose the:

- date the contribution was RECEIVED by your committee,
- political committee or political fund registration number (required)
- name of political committee or political fund, and full address (street, city, state, and zip code)
- amount of contribution(s).

COMPLIANCE ALERT!

If you do not have the contributor's Board registration number, it may be that the contributor is not registered and the contribution may be prohibited. If you cannot identify the status of a contributor, contact the Board office.

Non-itemized transactions:

Do not itemize contributions that total \$200 or less from any one political committee or political fund.

Disclose the total of all contributions of \$200 or less from political committee or political funds on the "Non-itemized receipts" line at the bottom of the schedule.

SCHEDULE A1 - PCF – Contributions from Political Committees and Political Funds

Make photocopies of this page if additional space is needed.

Page ____ of ____

Date received	Committee/ Fund registration number REQUIRED	Name and full address of political committee or political fund	1 Cash	2 In-kind (list item and fair market value)	3 Totals (cash & in-kind)
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
Total of non-itemized receipts			\$	\$	\$
TOTALS			\$	\$	\$
			To page 3, line 4, col. 1	To page 3, line 4, col. 3	To page 3, line 4, col. 4

It is unlawful to use this information for commercial purposes.

Entries must be in alphabetical order

INSTRUCTIONS FOR SCHEDULE A1 - PPU/TERM PCC

Use this schedule to itemize contributions and receipts from political parties and terminating candidate committees.

You must itemize contributions that in aggregate total more than \$200 from a contributor. When multiple contributions are received from the same party unit or principal campaign committee, show the committee's name once and list all contributions from that committee separately under the committee's name, with the date and amount of each contribution.

For itemized transactions you must disclose the:

- date the contribution was RECEIVED by your committee,
- political party or principal campaign committee registration number (required),
- name of the political party unit or principal campaign committee making the contribution, and full address (street, city, state, and zip code)
- amount of contribution(s).

COMPLIANCE ALERT!

If you do not have the contributor's Board registration number, it may be that the contributor is not registered and the contribution may be prohibited. If you cannot identify the status of a contributor, contact the Board office.

Non-itemized transactions:

Do not itemize transactions that total \$200 or less from any one source.

Disclose the total of all contributions of \$200 or less from all political party units and terminating candidate committees on the "Non-itemized receipts" line at the bottom of the schedule.

SCHEDULE A1 - PPU/TERM PCC
Contributions from Political Party Units and Terminating Candidate Committees

Make photocopies of this page if additional space is needed.

Page ____ of ____

Date received	Party unit/Candidate committee registration number REQUIRED	Name and full address of political party unit or terminating principal campaign committee	1 Cash	2 In-kind (list item and fair market value)	3 Totals (cash & in-kind)
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
Total of non-itemized receipts			\$	\$	\$
TOTALS			\$	\$	\$
			To page 3, line 5, col. 1	To page 3, line 5, col. 3	To page 3, line 5, col. 4

It is unlawful to use this information for commercial purposes.

INSTRUCTIONS FOR SCHEDULE A1 - OTH

Use this schedule to itemize contributions from other sources including committees registered in Hennepin County and contributions from associations not registered with the Board that provided the required disclosure at the time the contribution was made. A copy of the disclosure provided by the unregistered association must be included with this report.

COMPLIANCE ALERT!

Contributions from associations not registered with the Board are strictly limited. A contribution reported on this schedule **MUST** meet one of the following requirements or it is prohibited and may result in the imposition of civil penalties against both the donor and the recipient:

1. The contribution is from a political committee registered with the Hennepin County Elections Division; or
2. When you received the contribution, it was accompanied by a disclosure report disclosing all of the information required in a Report of Receipts and Expenditures filed with the Board and covering the period from January 1, 2016, through the date the contribution was received by your committee; or
3. The contribution is \$200 or less.

If a contribution to be reported on this schedule does not meet one of these requirements, return it immediately or contact the Board office for more information.

Itemization requirement

You must itemize contributions that in aggregate total more than \$200 from each other source. When multiple contributions are received from the same source, show the source's name once and list all contributions from that source separately under the source's name, with the date and amount of each contribution.

For itemized transactions you must disclose the:

- date the contribution was RECEIVED by your committee,
- name of the source making the contribution (indicate if the source is registered with Hennepin County),
- source's full address (street, city, state, and zip code), and
- amount of contribution(s).

Non-itemized transactions:

Do not itemize transactions that total \$200 or less from any one source.

Disclose the total of all contributions of \$200 or less from all sources on the "Non-itemized receipts" line at the bottom of the schedule.

INSTRUCTIONS FOR SCHEDULE A2 - PS

Use this schedule to itemize all receipts from public subsidy received by this committee from the State Elections Campaign Fund.

SCHEDULE A1 - OTH – Contributions from Other Sources

Make photocopies of this page if additional space is needed.

Page ____ of ____

Date received	Name and full address of source	1 Cash	2 In-kind (list item and fair market value)	3 Totals (cash & in-kind)
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
Total of non-itemized receipts		\$	\$	\$
TOTALS		\$	\$	\$
		To page 3, line 6, col. 1	To. Page 3, line 6, col. 3	To page 3, line 6, col. 4

SCHEDULE A2 - PS – Receipts from Public Subsidy Payment

Date		Amount received
August	Public subsidy payment from State of Minnesota	\$
December	Public subsidy payment from State of Minnesota	\$
TOTALS		\$
		To page 3, line 7, cols. 1 & 4

It is unlawful to use this information for commercial purposes.

INSTRUCTIONS FOR SCHEDULE A2 - LP

Use this schedule to itemize loans that in aggregate total more than \$200 owed to any one financial institution, individual, or other entity *received during 2016*.

For itemized transactions you must disclose the:

- date the loan was originally made,
- name of the lender and any endorsers*,
- full address (street, city, state, and zip code) of the lender and any endorsers, and
- amount of the loan.

* For individuals who are lenders or endorsers, you must disclose the individual's occupation and employer (if self-employed, list "self" and disclose the individual's occupation). These loans apply to the candidates' contribution limit.

Non-itemized transactions:

Do not itemize loans that total \$200 or less from any one entity.

Disclose the total of all loans of \$200 or less on the "Non-itemized receipts" line at the bottom of the schedule.

INSTRUCTIONS FOR SCHEDULE A2 - MISC

Use this schedule to itemize all receipts from miscellaneous income that in aggregate total more than \$200 from any one source including repayment of loans made by your committee to an individual or other entity.

COMPLIANCE ALERT!

Proceeds from sales of tickets to a fundraising event are not miscellaneous income; they are contributions from the purchaser and should be reported on the A1 series of schedules.

For itemized transactions you must disclose the:

- date the receipt was originally received,
- name of the source*
- full address (street, city, state, and zip code) of the source of the receipt,
- description of purpose or type of miscellaneous income, and
- amount of the receipt(s).

* For receipts from an individual, you must disclose the individual's occupation and employer (if self-employed, list "self" and disclose the individual's occupation).

Non-itemized transactions:

Do not itemize receipts that total \$200 or less.

Disclose the total of all receipts from miscellaneous income of \$200 or less on the "Non-itemized receipts" line at the bottom of the schedule.

SCHEDULE A2 - LP – Receipts from Loans Payable (Owed by Committee) received during 2016

Make photocopies of this page if additional space is needed.

Page ____ of ____

Date received	Name and full address of lender If the lender is an individual, list employer (if self-employed, list "self" & disclose the occupation)	Receipts from loans payable
		\$
		\$
		\$
		\$
Total of non-itemized receipts		\$
TOTALS		\$
		To page 3, line 8, col. 1 & 4

SCHEDULE A2 - MISC – Receipts from Miscellaneous Income

Date received	Name and full address of source If the source is an individual, list employer (if self-employed, list "self" & disclose the occupation)	Description of purpose or type of misc. income	Totals
			\$
			\$
			\$
			\$
Total of non-itemized receipts			\$
TOTALS			\$
			To page 3, line 9, cols. 1 & 4

It is unlawful to use this information for commercial purposes.

INSTRUCTIONS FOR SCHEDULE B1 - CE

Use this schedule to itemize campaign expenditures.

You must itemize expenditures that in aggregate total more than \$200 to any one payee. When multiple transactions occur with one payee, show payee's name once and list all transactions with that payee separately under the payee's name, with the date and amount of each expenditure.

Entries must be in alphabetical order.

For itemized transactions you must disclose the:

- date your committee made each campaign expenditure,
- name of payee* and payee's full address (street, city, state, and zip code),
- specific purpose of the expenditure (i.e.: ads in newspaper, lawn signs), and
- amount of expenditure(s).

COMPLIANCE ALERT!

Reporting reimbursements to people who purchased items on behalf of your committee or payments to credit card companies requires you to provide some extra information.

To report a reimbursement or credit card payment:

- 1) List the name and complete address of the payee (the person being reimbursed or the credit card company being paid).
- 2) In the "specific purpose" column include:
 - a) The name of each vendor of goods or services being reimbursed or that is being paid for through the credit card company;
 - i) If the total of the reimbursement or credit card payment attributable to a vendor is more than \$200, include the vendor's complete address.
 - b) A description of the item or services for which reimbursement is being made;
 - c) The date of the payment;
 - d) The amount of the payment.

Non-itemized transactions:

Do not itemize expenditures that in aggregate total \$200 or less to any one payee

Disclose the total of all campaign expenditures of \$200 or less on the "Non-itemized receipts" line at the bottom of the schedule.

SCHEDULE B1 - CE – CAMPAIGN EXPENDITURES

Make photocopies of this page if additional space is needed.

Page ____ of ____

Date	Name and full address of payee	Specific purpose of expenditure (e.g. flyers for fund raiser)	1 Cash	2 Unpaid bills	3 In-kind (list item & fair market value)	4 Totals
			\$	\$	\$	\$
			\$	\$	\$	\$
			\$	\$	\$	\$
			\$	\$	\$	\$
			\$	\$	\$	\$
			\$	\$	\$	\$
			\$	\$	\$	\$
Total of non-itemized expenditures/disbursements			\$	\$	\$	\$
TOTALS			\$	\$	\$	\$
			To page 3, line 11, col. 1	To page 3, line 11, col. 2	To pg. 3, line 11, col. 3	To pg. 3, line 11, col. 4

It is unlawful to use this information for commercial purposes.

INSTRUCTIONS FOR SCHEDULE B1 - BQ

Use this schedule to itemize expenditures made toward promoting or defeating a statewide ballot question.

You must itemize expenditures that in aggregate total more than \$200 to any one vendor. When multiple transactions occur with one vendor, show the vendor's name once and list all transactions with that vendor separately under the vendor's name.

Entries must be in alphabetical order.

For itemized contributions you must disclose the:

- date the expenditure was made by your committee;
- name of the vendor, including third party payees;
- vendor's full address (street, city, state, and zip code);
- identification of the ballot question ("**Remove Lawmakers' Power to Set Their Own Pay**")
- amount and purpose of expenditure(s);

Non-itemized transactions:

Do not itemize expenditures that total \$200 or less on any one vendor.

You must disclose the total of all expenditures of \$200 or less on the "Non-itemized expenditures/disbursements" line at the bottom of the form.

Schedule B1 - BQ – Ballot Question Expenditures

Make photocopies of this page if additional space is needed.

Page ____ of ____

Date	Name and full address of vendor (including third party payees)	Description of Ballot Question	Specific purpose of expenditure	1 Cash	2 Unpaid bills	3 In-kind (list item & fair market value)	4 Totals
		"Remove Lawmakers' Power to Set Their Own Pay"		\$	\$	\$	\$
				\$	\$	\$	\$
				\$	\$	\$	\$
				\$	\$	\$	\$
				\$	\$	\$	\$
				\$	\$	\$	\$
				\$	\$	\$	\$
				\$	\$	\$	\$
Non-itemized expenditures/disbursements				\$	\$	\$	\$
TOTALS				\$	\$	\$	\$
				To page 3, line 12, col. 1	To page 3, line 12, col. 2	To page 3, line 12, col. 3	To page 3, line 12, col. 4

It is unlawful to use this information for commercial purposes.

INSTRUCTIONS FOR SCHEDULE B1- NCD

Use this schedule to itemize non-campaign disbursements. See page 30 for the definition of non-campaign disbursement.

You must itemize disbursements that in aggregate total more than \$200 to any one payee. When multiple transactions occur with one payee, show payee's name once and list all transactions with that payee separately under the payee's name.

Entries must be in alphabetical order.

For itemized transactions you must disclose the:

- date your committee made each non-campaign disbursement,
- name of payee*,
- payee's full address, (street, city, state, and zip code),
- specific purpose and corresponding number of purpose found on the attached sheet of definitions for non-campaign disbursements (page 30), and
- amount of disbursement(s).

COMPLIANCE ALERT!

Reporting reimbursements to people who purchased items on behalf of your committee or payments to credit card companies requires you to provide some extra information.

To report a reimbursement or credit card payment:

- 1) List the name and complete address of the payee (the person being reimbursed or the credit card company being paid).
- 2) In the "specific purpose" column include:
 - a) the name of each vendor of goods or services being reimbursed or that is being paid for through the credit card company;
 - i) If the total of the reimbursement or credit card payment attributable to a vendor is more than \$200, include the vendor's complete address.
 - b) A description of the item or services for which reimbursement is being made;
 - c) The date of the payment;
 - d) The amount of the payment.

Non-itemized transactions:

Do not itemize disbursements that in aggregate total \$200 or less to any one payee.

Disclose the total of all non-campaign disbursements made of \$200 or less on the "Non-itemized expenditures/disbursements" line at the bottom of the schedule.

SCHEDULE B1 - NCD – NON-CAMPAIGN DISBURSEMENTS

Make photocopies of this page if additional space is needed.

Page ____ of ____

Date	Name and full address of payee	Specific purpose and number of disbursement (definition list, page 30)	1 Cash	2 Unpaid bills	3 In-kind (list item & fair market value)	4 Totals
			\$	\$	\$	\$
			\$	\$	\$	\$
			\$	\$	\$	\$
			\$	\$	\$	\$
			\$	\$	\$	\$
			\$	\$	\$	\$
			\$	\$	\$	\$
			\$	\$	\$	\$
Total of non-itemized expenditures/disbursements			\$	\$	\$	\$
TOTALS			\$	\$	\$	\$
			To page 3, line 14, col. 1	To page 3, line 14, col. 2	To page 3, line 14, col. 3	To page 3, line 14, col. 4

It is unlawful to use this information for commercial purposes.

INSTRUCTIONS FOR SCHEDULE B2 - PCC Contributions to Other Candidate Committees

Use this schedule to itemize contributions given by your committee to *other candidate committees* (registration number begins with 1).

COMPLIANCE ALERT!

Contributions to other candidate committees may be given only when your committee will be terminating within 12 months from the date the contribution was given. If your committee will not be terminating its registration within 12 months, there should be no transactions listed on this schedule.

Itemized contributions made:

You must itemize contributions that in aggregate total more than \$200, to other candidate committees. When multiple contributions are given to the same committee, show the receiving committee's name once and list all transactions with that party unit under the committee's name, with the date and amount of each contribution.

For itemized contributions you must disclose the:

- date the contribution was given by your committee,
- recipient committee's registration number (required),
- name of recipient,
- recipient's full address (street, city, state, and zip code), and
- amount of contribution(s).

Non-itemized transactions:

Do not itemize contributions that in aggregate total \$200 or less.

Disclose the total of all contributions of \$200 or less given to party units on the "Non-itemized expenditures/disbursements" line at the bottom of the schedule.

INSTRUCTIONS FOR SCHEDULE B2 - PPU Contributions to Political Party Units

Use this schedule to itemize contributions given by your committee to *political party units* (registration number begins with 2).

You must itemize contributions that in aggregate total more than \$200 to each political party. When multiple contributions are given to the same party unit, show the receiving committee's name once and list all transactions with that party unit under the party unit's name.

For itemized contributions you must disclose the:

- date the contribution was given by your committee,
- recipient committee's registration number (required),
- name of recipient,
- recipient's full address (street, city, state, and zip code), and
- amount of contribution(s).

Non-itemized transactions:

Do not itemize contributions that in aggregate total \$200 or less.

Disclose the total of all contributions of \$200 or less given to party units on the "Non-itemized expenditures/disbursements" line at the bottom of the schedule.

SCHEDULE B2 - PCC – Contributions to Other Candidate Committees

Make photocopies of this page if additional space is needed.

Page ____ of ____

Date	Committee registration number REQUIRED	Name and full address recipient (for approved expenditure, also list name and full address of vendor paid)	1 Cash	2 In-kind (list item & fair market value)	3 Totals (cash & in-kind)
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
Total of non-itemized expenditures/disbursements			\$	\$	\$
TOTALS			\$	\$	\$
			To page 3, line 15, col. 1	To page 3, line 15, col. 3	To page 3, line 15, col. 4

SCHEDULE B2 - PPU – Contributions to Political Party Units

Date	Committee registration number REQUIRED	Name and full address recipient (for approved expenditure, also list name and full address of vendor paid)	1 Cash	2 In-kind (list item & fair market value)	3 Totals (cash & in-kind)
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
Total of non-itemized expenditures/disbursements			\$	\$	\$
TOTALS			\$	\$	\$
			To page 3, line 16, col. 1	To page 3, line 16, col. 3	To page 3, line 16, col. 4

It is unlawful to use this information for commercial purposes.

INSTRUCTIONS FOR SCHEDULE B2 - PCF Contributions to Political Committees and Political Funds

Use this schedule to itemize contributions given by your committee to *political committees and political funds* (registration numbers begin with 3, 4, 5, 6, 7, or 8).

You must itemize contributions that in aggregate total more than \$200. When multiple contributions are given to the same committee or fund, show the receiving committee or fund's name once and list all transactions with that committee or fund under the committee or fund's name, with the date and amount of each contribution.

Entries must be in alphabetical order.

For itemized contributions you must disclose the:

- date the contribution was given by your committee,
- recipient committee's registration number(required),
- name of recipient,
- recipient's full address (street, city, state, and zip code), and
- amount of contribution(s).

Non-itemized transactions:

Do not itemize contributions that in aggregate total \$200 or less.

Disclose the total of all contributions of \$200 or less given to political committees and political funds on the "Non-itemized expenditures/disbursements" line at the bottom of the schedule.

INSTRUCTIONS FOR SCHEDULE B3 - OTH – Other Disbursements

Use this schedule to itemize other disbursements made by your committee including any return of public subsidy and charitable contributions.

You must itemize disbursements that in aggregate total more than \$200. When multiple disbursements are made to the same entity, show the entities name once and list all transactions with that entity separately under the entities name, with the date and amount or each disbursement.

COMPLIANCE ALERT! Other Disbursements

This schedule is not regularly used, other than for return of public subsidy payments, payment of security deposits, and charitable contributions. Transactions in other categories reported on this schedule may signify incorrect reporting or transactions that are not permitted with campaign funds. If this situation arises, contact the Board office for advice.

Itemized Disbursements:

Entries must be in alphabetical order.

For itemized disbursements you must disclose the:

- date the disbursement was made by your committee,
- name of recipient,
- recipient's full address (street, city, state, and zip code),
- purpose of the disbursement, and
- amount of disbursement(s).

For non-itemized transactions:

Do not itemize disbursements that in aggregate total \$200 or less.

Disclose the total of all disbursements of \$200 or less on the "Non-itemized disbursements" line at the bottom of the schedule.

SCHEDULE B2 - PCF – Contributions to Political Committees and Political Funds

Make photocopies of this page if additional space is needed.

Page ____ of ____

Date	Committee registration number REQUIRED	Name and full address recipient <small>(for approved expenditure, also list name and full address of vendor paid)</small>	1 Cash	2 In-kind <small>(list item & fair market value)</small>	3 Totals <small>(cash & in-kind)</small>
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
	#		\$	\$	\$
Total of non-itemized expenditures/disbursements			\$	\$	\$
TOTALS			\$	\$	\$
			<small>To page 3, line 17, col. 1</small>	<small>To page 3, line 17, col. 3</small>	<small>To page 3, line 17, col. 4</small>

SCHEDULE B3 - OTH – Other Disbursements

Date	Name and full address of recipient	Specific purpose disbursement	1 Cash	2 Unpaid bills	3 In-kind <small>(list item & fair market value)</small>	4 Totals
			\$	\$	\$	\$
			\$	\$	\$	\$
			\$	\$	\$	\$
			\$	\$	\$	\$
Total of non-itemized expenditures/disbursements			\$	\$	\$	\$
TOTALS			\$	\$	\$	\$
			<small>To page 3, line 18, col. 1</small>	<small>To page 3, line 18, col. 2</small>	<small>To page 3, line 18, col. 3</small>	<small>To page 3, line 18, col. 4</small>

It is unlawful to use this information for commercial purposes.

INSTRUCTIONS FOR SCHEDULE C – Loans

Use this schedule to itemize any note or loan with an outstanding balance payable or receivable as of December 31, 2016, including all unpaid notes and loans from previous years.

You must disclose the:

- date the loan was originally made,
- name of the lender or endorser*,
- full address of lender or endorser (street, city, state, and zip code), and
- amount of the outstanding balance.

* For receipts from an individual, you must disclose the individual's occupation and employer (if self-employed, list "self" and disclose the individual's occupation).

INSTRUCTIONS FOR SCHEDULE D – Unpaid Obligations

Use this schedule to itemize all unpaid obligations as of December 31, 2016, including any unpaid obligations from previous years.

Include on this schedule the unpaid portion of any item listed on Schedules B1 – Campaign Expenditures or B1 – Non-Campaign Disbursements as well as any unpaid bills carried forward from a prior year.

List the obligations alphabetically by creditor name.

You must disclose the:

- month, day, year the obligation to pay was incurred,
- name of the creditor or individual owed,
- full address (street, city, state, and zip code) of the creditor or individual owed,
- purpose of the credit extension, and
- amount of the obligation.

SCHEDULE C – Loans Payable and Receivable as of December 31, 2016, including prior years

Make photocopies of this page if additional space is needed.

Page ____ of ____

Date of original loan	Name, full address, employer, and occupation for each lender, endorser, or borrower	1 PAYABLES (owed by committee)	2 RECEIVABLES (owed to the committee)
		\$	\$
		\$	\$
		\$	\$
		\$	\$
TOTALS		\$	\$
		To page 5, line 1	

SCHEDULE D – Unpaid Obligations as of December 31, 2016, including prior years

Date	Name and full address of each creditor	Purpose of credit extension	Campaign expenditure	Non-campaign disbursement
			\$	\$
			\$	\$
			\$	\$
			\$	\$
TOTALS			\$	\$
			To page 5, line 2	To page 5, line 3

It is unlawful to use this information for commercial purposes.

DEFINITIONS

Non-campaign disbursements

1. payment for accounting and legal services;
2. return of a contribution to the source;
3. repayment of a loan made to the principal campaign committee by that committee;
4. return of public subsidy;
5. payment of food, beverages, necessary utensils and supplies, entertainment, and facility rental for a fundraising event;
6. constituent services by a member of the legislature or a constitutional officer, including the costs of preparing and distributing a suggestion or idea solicitation to constituents, from beginning of the term of office to adjournment sine die in the election year for the office held. In the 60 days after adjournment sine die, one-half of the disbursement is a campaign expenditure and applies to the expenditure limit;
7. payment of food and beverages consumed by a candidate or volunteers while engaged in campaign activities;
8. payment of food or a beverage consumed while attending a reception or meeting directly related to legislative duties;
9. payment of expenses incurred by elected or appointed leaders of a caucus in carrying out leadership responsibilities;
10. payment of candidate's expenses for serving in public office, other than for personal uses;
11. costs of child care for candidate's children when campaigning;
12. fees paid to attend a campaign school;
13. costs of a post-election party during the election year when a candidate's name will no longer appear on a ballot or after the general election, whichever occurs first;
14. interest on loans paid by the principal campaign committee;
15. filing fees;
16. post-general election holiday or seasonal cards, thank you notes or advertisements in the news media mailed or published prior to the end of the election cycle;
17. costs of campaign material purchased to replace defective campaign material, if the defective material is destroyed without being used;
18. contributions to a party unit (reported on schedule B2-PPU);
19. payments for funeral gifts or memorials;
20. costs of a magnet less than 6" in diameter containing legislator contact information and distributed to constituents;
21. costs associated with candidate attending a state or national political party convention held in Minnesota.
22. other purchases or payments specified in board rules or advisory opinions as being for any purpose other than to influence the nomination or election of a candidate or to promote or defeat a ballot question;
23. costs paid to a third party for processing contributions made by a credit card, debit card, or electronic check.
24. Transportation, meals, and lodging paid to attend a campaign school
25. costs of campaigning incurred by a person with a disability, as defined in Minnesota Statutes, section 363A.03, subdivision 12, and which are made necessary by the disability;
26. costs to an incumbent or a winning candidate of providing services to residents in the district after the general election in an election year for the office held;
27. payment of advances of credit in a year in after the year in which the advance was reported as an expenditure;
28. payment of fines assessed by the Board; and
29. costs or running a transition office for a winning gubernatorial candidate during the first six months after election.

Miscellaneous income: Money received by the committee that is not a contribution or loan (i.e., interest from an interest bearing account).

Specific purpose: List the specific purpose of the expenditure (i.e., If purchasing campaign literature, list type of literature - flyers, posters, lawn signs, door hangers, etc.). For reimbursements, you may list the purpose as "reimbursement", but must also list the purpose of each underlying expense being reimbursed.

Unpaid bills: Advance of credit for goods or services for which payment has not been made. An advance of credit is an unpaid bill from the time it is incurred, regardless of when an actual invoice is received.

RETURN OF PUBLIC SUBSIDY (2016) WORKSHEET

A candidate may be required to return some or all of the public subsidy received during 2016. If the amount of the public subsidy received is greater than total campaign expenditures for the two-year election segment (less postage on hand and credit balances with vendors on 12/31/16), that amount must be returned to the Board with this report. Most figures used to complete this worksheet will be taken from the Committee Transaction Summary on page three of the report of receipts and expenditures.

Complete this worksheet if your committee received a public subsidy payment in 2016.

1. Total public subsidy received in 2016
(includes amount received in August and December) \$ _____ (page 3, line 7, col. 4)
2. Campaign expenditures (including 2015) \$ _____ (page 3, line 13, col. 1)
3. Unpaid campaign expenditures \$ _____ (page 3, line 13, col. 2)
4. In-kind campaign expenditures \$ _____ (page 3, line 13, col. 3)
5. **Total campaign expenditures before adjustments** \$ _____
Add lines 2, 3, and 4
6. Postage on hand 12/31/16 \$ _____
7. Credit balances with vendors on 12/31/16
(which were included as expenditures on line 2) \$ _____
8. Total postage and credit balances \$ _____
Add lines 6 and 7
9. Total campaign expenditures made in 2016 \$ _____
Line 5 minus line 8
10. If line 1 is greater than line 9, enter the amount
of the difference: \$ _____*

*This is the amount of public subsidy that must be returned to the Board with this report. Make check payable to the State of Minnesota.

Judicial Candidates do not need to complete this worksheet.

Legislative candidates may carry forward to the next election cycle up to twenty-five percent (25%) of the base expenditure limit, after all campaign expenditures and non-campaign disbursements for the current election cycle have been made. The limit applies in an election year for the office sought, even if the candidate did not file for office. You may reduce the cash balance by making contributions to political party units, political committees or funds, or by making contributions of no more than \$100 to a 501(C)(3) charity. Your committee may contribute to multiple charities. The final carry-forward amount is determined as of December 31, 2016. Contributions made after December 31, 2016 may not be used to reduce the carry-forward amount.

Unused postage and credit balances that exceed a combined total of \$500 are applied to the carry forward amount and count as expenditures in the election cycle during which they are used.

Complete this worksheet to determine the amount you may carry forward. Dispose of any amount that exceeds 25% of the expenditure limit according to instructions on the bottom of this worksheet.

- | | | |
|----|--|-----------|
| 1. | Cash balance as of 12/31/16 | \$ _____ |
| 2. | Postage on hand and credit balances
at vendors <i>if combined total is greater than \$500</i> | +\$ _____ |
| 3. | All unpaid bills and loans | -\$ _____ |
| 4. | Carry forward amount
Line 1 plus line 2 minus line 3 | =\$ _____ |
| 5. | 25% of relevant expenditure limit | \$ _____ |
| | - State Senator: \$23,675 | |
| | - State Representative: \$15,775 | |
| 6. | If line 4 exceeds line 5, enter the excess amount: | \$ _____ |

INSTRUCTIONS FOR DISPOSITION OF AMOUNT ON LINE 6

The amount shown on line 6 is the amount that must be sent to the Campaign Finance and Public Disclosure Board with the year-end report. Make checks payable to the State of Minnesota.

If you are required to return an excess amount, please call Joyce Larson at 651-539-1188 or 800/657-3889 for further instructions.